



FI\$Cal

Financial Information System for California

Conference Room Pilot (CRP) – Grants and Federal Funds

for Wave 1 and Wave 2
Departments

May 08, 2014

Housekeeping

- A copy of the presentation will be shared with attendees 1 week from the presentation date

- **Breaks**
 - AM Break – approx. 10:30am

- **Restrooms**
 - Main hall to right

Agenda

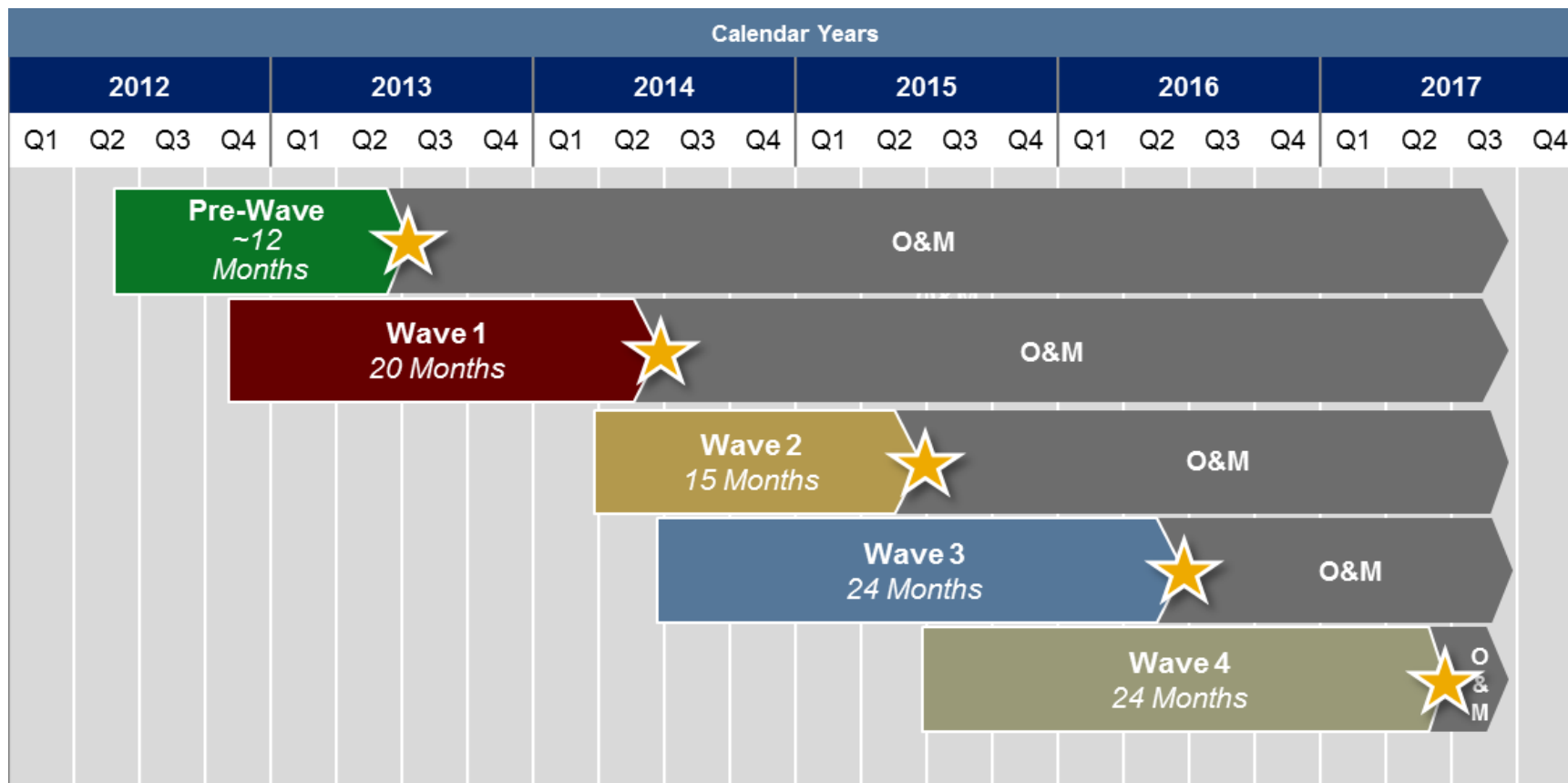
- FI\$Cal Project Overview
- Wave 2 CRP Objectives and Approach
- FI\$Cal Solution Overview
- Grants Module
 - Key Terms
 - Business Process Overview
 - Scenarios Overview
 - Covered Requirements
 - Demonstration
- Technology Considerations
- Data Protection Overview
- Session Recap

FI\$Cal Project Overview

- The Financial Information System for California (FI\$Cal) is a business transformation project for the State in the areas of budgeting, accounting, procurement, and cash management. The Project prepares the State to work in an integrated financial management system.

- California's Partner Agencies are working together to form the partnership to support FI\$Cal at the highest level:
 - Department of Finance (DOF)
 - Department of General Services (DGS)
 - State Controller's Office (SCO)
 - State Treasurer's Office (STO)

FI\$Cal Wave Timeline



CRP Objectives

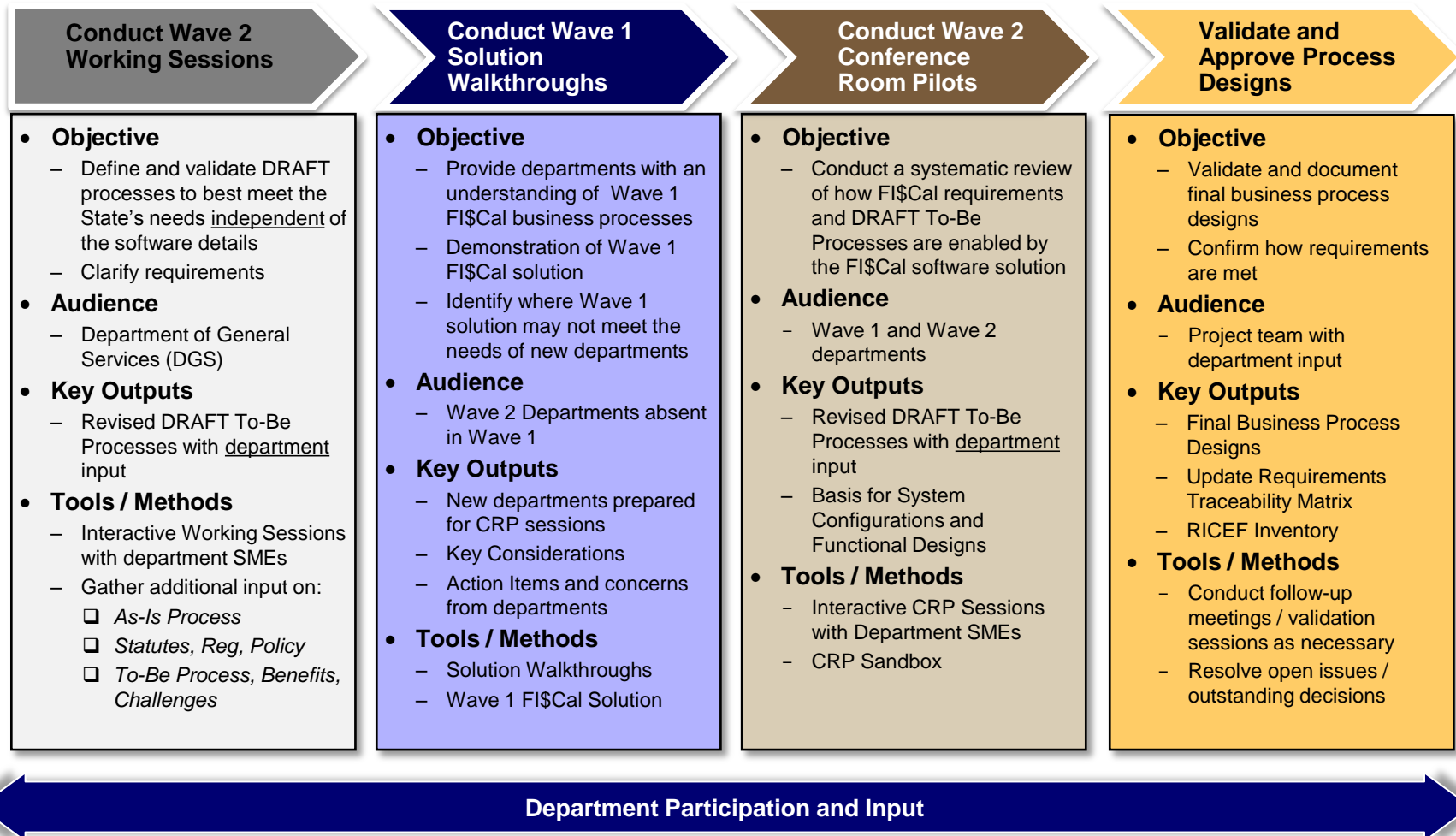
- The Wave 2 CRPs will:
 - Facilitate interactive discussion on “To-be” state business processes
 - Demonstrate delivered software capabilities to meet state requirements
 - Confirm application requirements and identify gaps where business needs are not satisfied by standard software functionality
 - Identify critical concerns/issues for each process area

Business Requirements – Overview

■ What is a Business Requirement?

- Business requirements specify the functionality of an application
- Business requirements collectively represent the “To-Be” state of a system
- Requirements denote common functionality across all Departments
- The FI\$Cal project team contracted with Informatix to facilitate Joint Application Development (JAD) sessions with all partner and stakeholder departments to tailor the baseline requirements to the FI\$Cal project in 2006
- State conducted several rounds of review of the requirements in the past few years
- The current baseline requirement list is taken from the FI\$Cal RFP

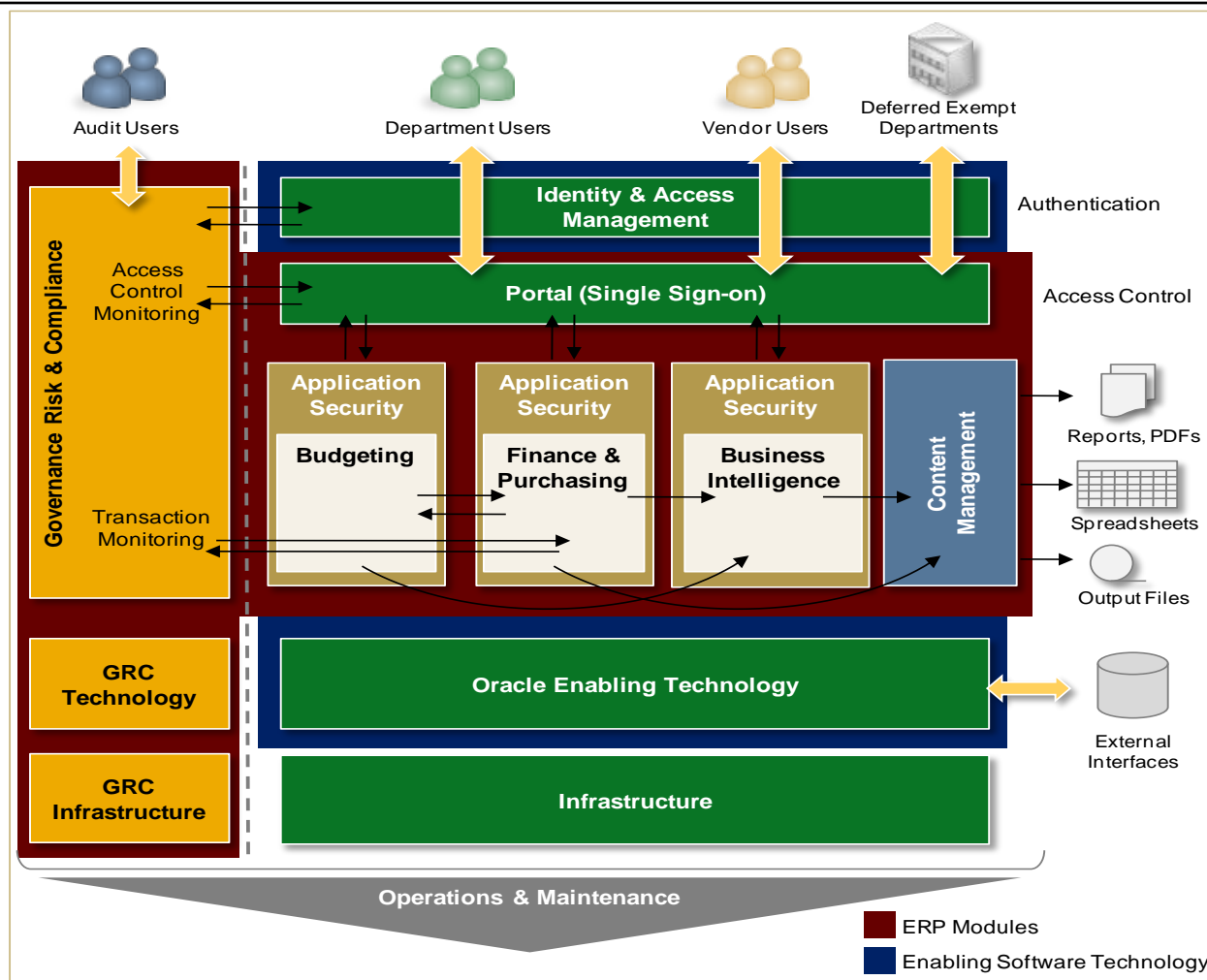
FI\$Cal Design Approach



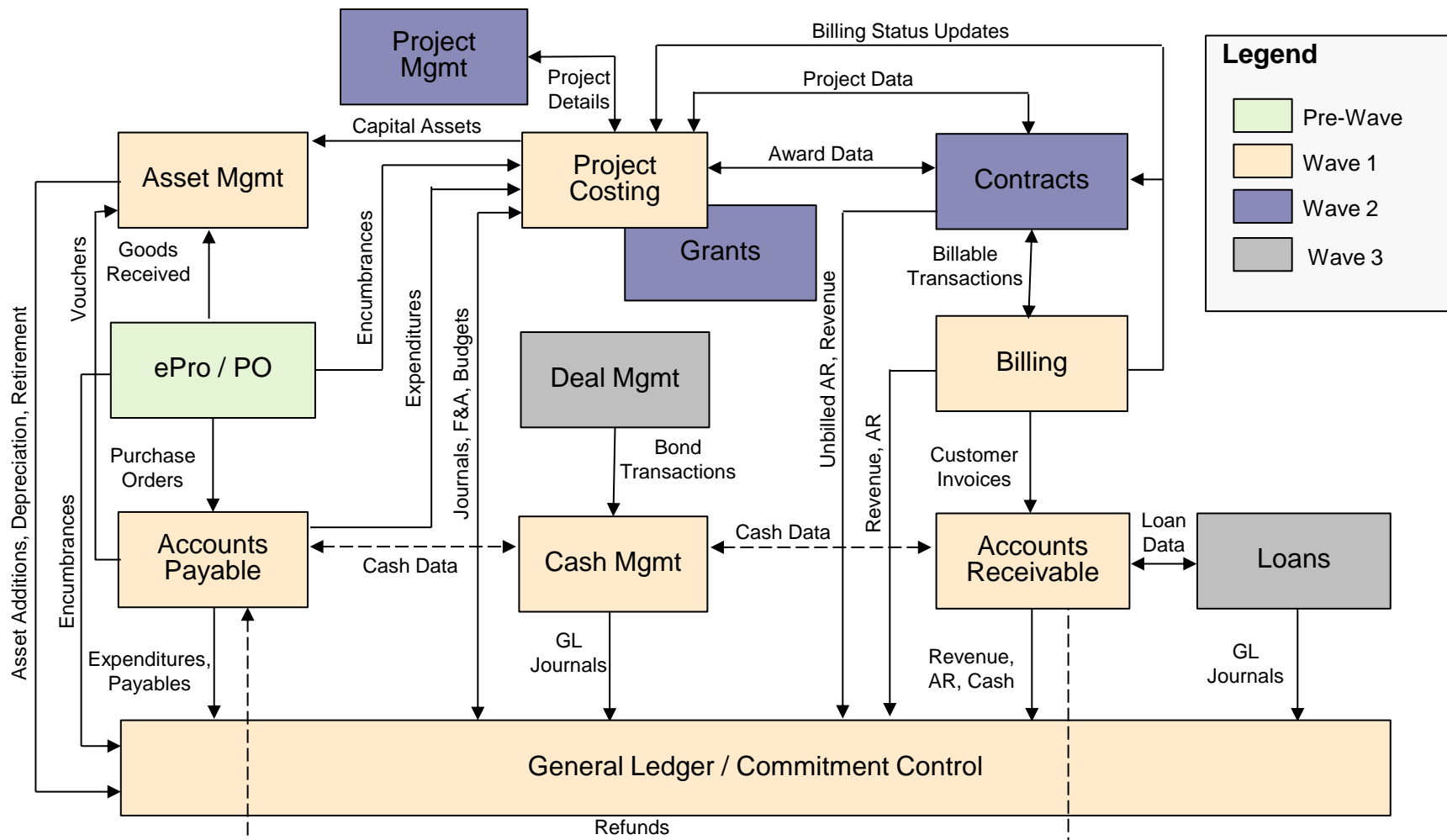
Ground Rules & Guiding Principles

- **Challenge** how the State does things today
- **Perspective** – Adopt a “Statewide” perspective
- **Silence is Consent** – Speak and share your thoughts
- **There are no Bad Questions** – Better to question, then assume
- **Consider Best Practices** and business process changes
- **Think of the data** and information you require

FI\$Cal Solution Overview



FI\$Cal Accounting Solution



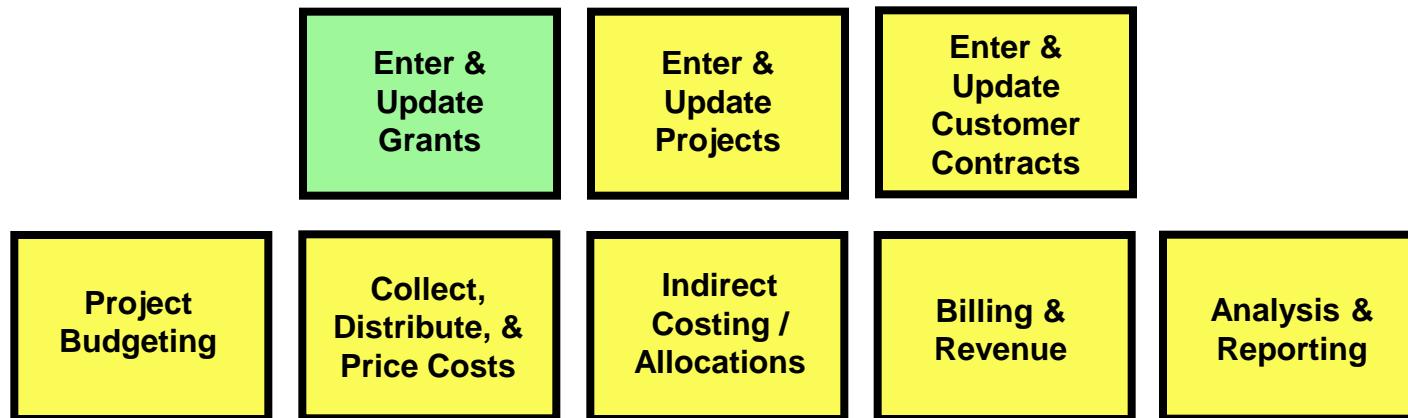
Key Terms

Term	Definition
Grant	Sponsored Contract Award Agreement where the State is receiving funding
Sponsor	Federal or other Awarding Agency/Department who is responsible for funding the award.
Project	FI\$Cal ChartField value used for tracking financial transactions associated with a Grant/Award
Activity	FI\$Cal Project ChartField usually mapped to a Spending Category associated with the Grant/Award
Customer	Federal or other Awarding Agency/Department who is responsible for funding the award. Identified on the Customer Contract for billing purposes

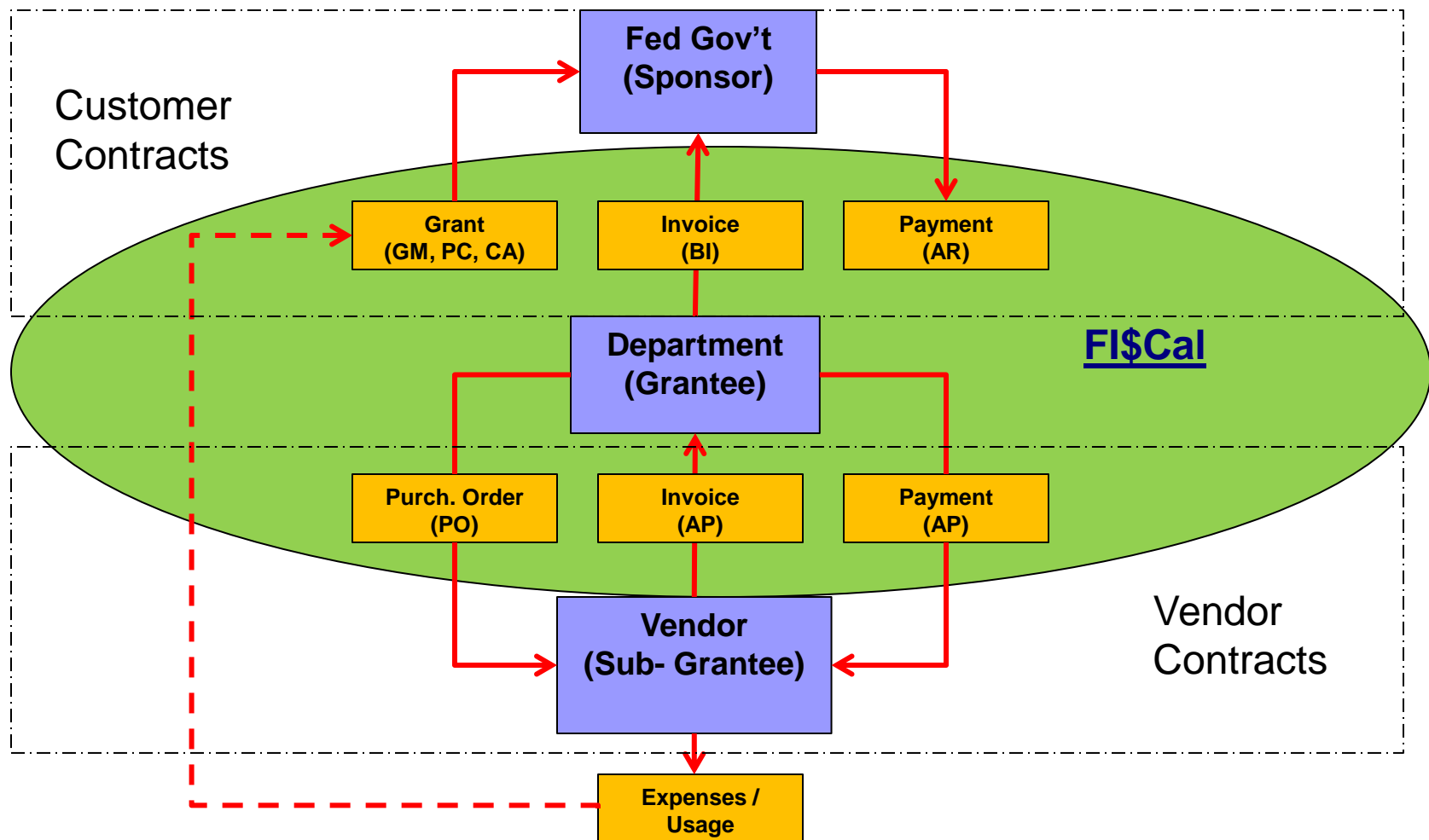
Key Terms

Term	Definition
Customer Contract	Contract Agreement within FI\$Cal that will define Billing and Revenue Recognition terms for a grant award
Vendor	Local Agency or third party that receives funding from the State. Also identified as a Sub-Recipient or Sub-Grantee
Vendor Contract	Contract Agreement in FI\$Cal that defines Encumbrance and Payment terms for Local Agency payments. This agreement is managed in Procurement and is outside of the scope of the grants module

Projects/Grants Processes



Business Process Overview

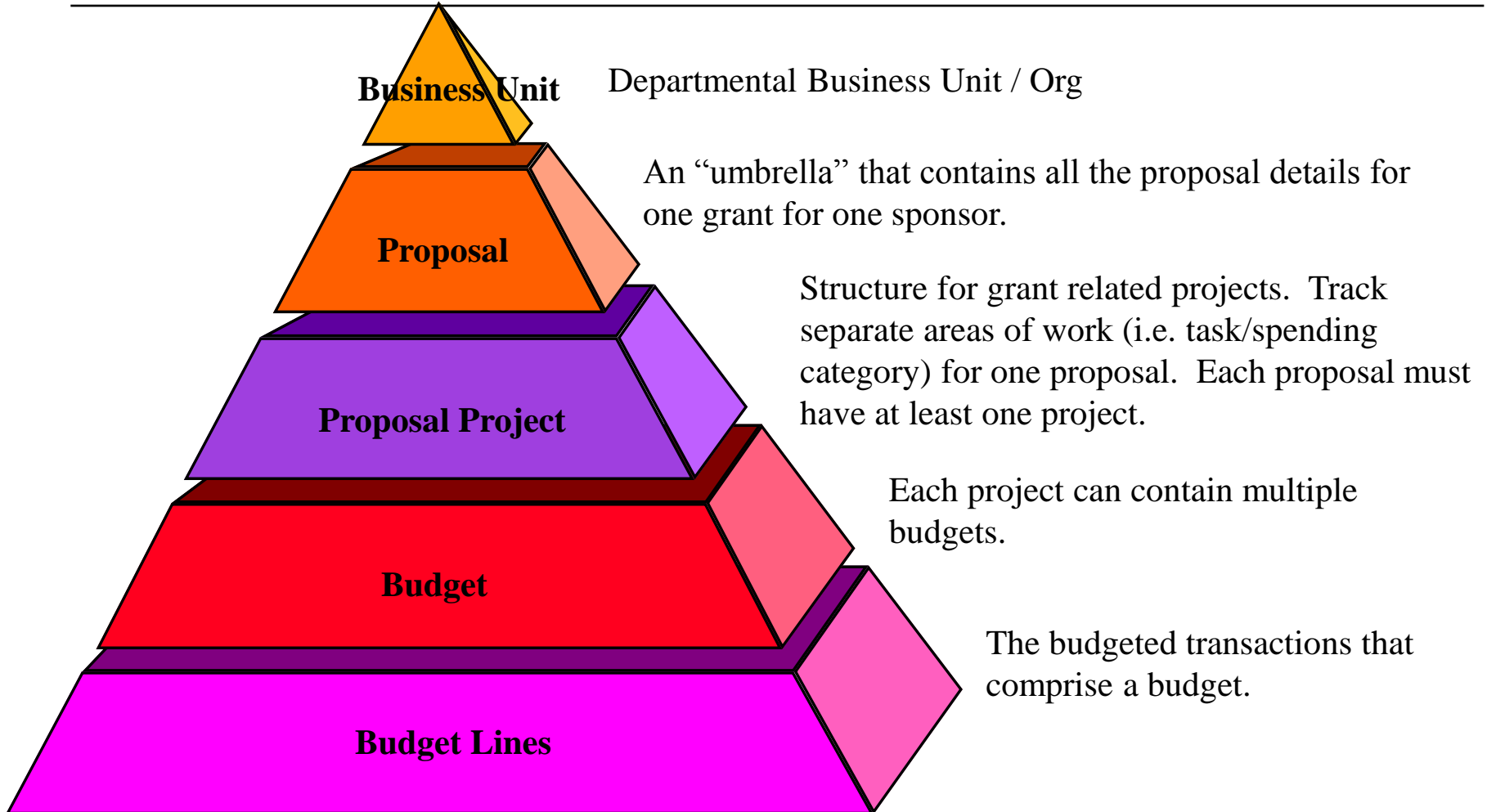


Grants Module

Grants module allows you to:

- Manage the complete grant life cycle from proposal to award
- Capture profile data about your institution (State), sponsors (Feds or Other), professionals, and sub-recipients for each proposal, project, budget, or award
- Facilitate the submission of timely, accurate, and complete proposals to sponsors, including electronic submission of federal grant applications (this functionality to be discussed during session)

Pre-Award Structure



Scenario – 1 : Create Proposal

ID		Requirement Description
GA	5.00	The System shall generate grant numbers and/or sub grant numbers for each grant.
GA	6.00	The System shall allow an authorized user to manually generate grant numbers and/or sub grant numbers for each grant.
GA	7.00	The System shall track and report on grants as a grantor or a grantee.
GA	9.00	The System shall allow for a grant to span multiple fiscal years.
GA	11.00	The System shall associate multiple grants to a project and/or multiple projects to a grant.
GA	14.00	The System shall establish open-end entitlement grants.
GA	15.00	The System shall record grants by:
GA	15.01	Accounting Classification
GA	15.02	Unique identifier
GA	15.06	Grantee Number (for grants received by State)

Scenario – 1 : Create Proposal

ID		Requirement Description
GA	15.00	The System shall record grants by:
GA	15.07	Sub-grantee number
GA	15.08	Grantee contact/address/phone number/e-mail (for grants by the State)
GA	15.09	Grantee county code
GA	15.10	Indirect/direct/holding account
GA	15.11	Federal Department (for grants received by State)
GA	15.12	Grant Relationship (e.g., phase, sub-phase, activity)
GA	15.13	Grant Budget Authority (for each year)
GA	15.15	Grant Participants
GA	15.16	Grant amount Per Participant

Scenario – 1 : Create Proposal

ID		Requirement Description
GA	15.00	The System shall record grants by:
GA	15.17	Start and End Date
GA	15.18	Grant Award Date
GA	15.21	Grant: Goal, Objective, and Brief Description
GA	15.22	Catalogue of Federal Domestic Assistance (CFDA) Number
GA	15.23	Grant name
GA	15.24	Grant Extension
GA	23.00	The System shall support, change orders, purchase documents, or amendments to contracts with changes reflected in grant and cost center (e.g., section, branch, location).
GA	44.00	The System shall allow a user to establish proposed provisional grant accounts to be enabled at grant award.

Proposals

- Optional Functionality
- Allows for electronic submissions
- Key to automatic integration for Contract, Project, and Budget

Scenario – 1 : Create Proposal

Create Proposal: Proposal Page 1

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[Proposal](#) [Projects](#) [Budgets](#) [Resources](#) [Certifications](#) [Reports](#) [Attachments](#)

Proposal ID: CDA-3CNUTRITION

Version ID: V101

Description:

Currency: USD

[Add to My Proposals](#)

*Title:

Long Description:

*PI ID:  Kessler,Marc

*Sponsor ID:  Department of Health & Human Services

Pre-Award Administrator:  Scott,William

Purpose: 

*Proposal Type: 

Confidence %: 

CFDA: 

[Due By](#)

[Budget Express](#)

[Additional Information](#)

Status

*Proposal Status:

Submit Status: Submitted

Generate Status: Contract Generated

☐ In Approval Process

☒ Facilities & Admin Requested

☐ Foreign Application/Component

☐ NIH Modular Grant

Scenario – 1 : Create Proposal

Create Proposal: Proposal Page 2

*Start Date:
 *End Date:
 No. Periods:

Budget Periods Personalize | Find |
First 1 of 1 Last

Period	*Start Date	*End Date	Target Sponsor Budget
1	<input type="text" value="07/01/2013"/>	<input type="text" value="06/30/2014"/>	500,000,000.00

Target Sponsor Budget: 500,000,000.00

Go To: [Location](#) [Protocols](#) [Component](#) [Setup Level](#) [Keywords](#) [Gender & Minority Study](#) [Trainee](#) [Attributes](#)

Return To: [My Proposals](#)

Scenario – 1 : Create Proposal

Create Proposal: Proposal Projects









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Proposal ID: CDA-3CNUTRITION Version ID: V101
Description: Title III C Currency: USD





Proposal Projects

[Find](#) | [View All](#) First  1 of 1  Last

Project ID:	<input type="text" value="3C0S"/>	<input checked="" type="checkbox"/> Primary	Project:	3C0S	 
*Title:	<input type="text" value="Title III C Congregate Nutrition"/>				
Long Description:	<input type="text" value="Title III C Congregate Nutrition"/> 				
*Department:	<input type="text" value="Public Affairs"/> 	Dept Contact:	<input type="text"/> 		
*Subdivision:	<input type="text" value="Personal Services"/> 	Dept Rep:	<input type="text"/> 		
*Institution:	<input type="text" value="PeopleSoft University"/> 	Other Contacts	Department Credit		
SPO:		SPO Contact:			

F & A Distribution

[Personalize](#) | [Find](#) |  |  First   Last

*Department	Location	Comments	Percent Share		
<input type="text" value="Planning & Economic Dev"/> 			<input type="text" value="100.00"/>		

Scenario – 1 : Create Proposal

Create Proposal: Proposal Budget

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Proposal ID: CDA-3CNUTRITION

Version ID: V101

Description: Title III C

Currency: USD

Proposal Project

[Find](#) | [View All](#) | First [1 of 1](#) Last

Project ID: 3C0S

Title: Title III C Congregate Nutrition

Budget Header

[Find](#) | [View All](#) | First [1 of 1](#) Last

Budget ID: BUD

Description:

Start Date: 07/01/2013

End Date: 06/30/2014 ☒ Include in Proposal

Budget Period

[Personalize](#) | [Find](#) | [Print](#) | [Grid](#) | First [1 of 1](#) Last

Period	Start Date	End Date	Amount	Program Income	Number of Participant/Trainees
1	07/01/2013	06/30/2014	500,000,000.00	Program Income	<input type="text"/>

F & A and Pricing Setup

Total: 500,000,000.000

Grants Budgeting

- PeopleSoft Grants supports organization, project and grant, and revenue estimate ledger types. PeopleSoft Grants does not support appropriation budgets.
 - For Commitment Control, Wave 1 departments are using CC_PROJECT or CC_DEPEXP1 ledgers for Project level budgets
- Budget Line Details are entered for a Budget Item. The Item defines the spending category for the budget line.
 - Direct Cost
 - F&A
 - Cost Sharing

Grants Budgeting

- When Finalized, Grants budgets can be interfaced to Project Costing and Commitment Control.
 - Budget must be maintained in Grants Module if this budget path is chosen.
- Budget Plan is visible only in Grants and cannot be seen in Project Costing.
- Alternatively, Budget Journals may be posted directly to Commitment Control and interfaced to Project Costing.
 - Budget Item always defaults to “Other” if this path is chosen.
 - Budget must be maintain in Commitment Control if this path is chosen.
 - This is the “standard” path for non-Grants Projects.

Scenario – 1 : Create Proposal

Create Proposal: Proposal Resources and Professionals

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Proposal	Projects	Budgets	Resources	Certifications	Reports	Attachments
--------------------------	--------------------------	-------------------------	---------------------------	--------------------------------	-------------------------	-----------------------------


Proposal ID: CDA-3CNUTRITION **Version ID:** V101
Description: Title III C **Currency:** USD

Project [Find](#) | [View All](#) First 1 of 1 Last






Project ID: 3C0S **Title:** Title III C Congregate Nutrition



Resource [Find](#) | [View All](#) First 1 of 1 Last





*Type: 

Comments: 

Subrecipient [Find](#) | [View All](#) First 1 of 1 Last

Subrecipient:     

Professional [Personalize](#) | [Find](#) |  |  First 1 of 1 Last

Research	Employee ID	Name	Other Role	Contact PI	Primary PI	Credit %	Reporting Role	Include in Award Header	Workflow Eligible	Details		
<input checked="" type="checkbox"/>	KU0068 	Kessler, Marc	Principal Investigator 	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/> 	<input type="checkbox"/>	<input checked="" type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>

Scenario – 1 : Create Proposal

Create Proposal: Proposal Resources – Sub Recipients

Project Find | View All First 1 of 1 Last

Project ID: 3C0S Title: Title III C Congregate Nutrition


Resource Find | View All First 1 of 1 Last

*Type:


Comments:

Subrecipient Find | View All First 1 of 1 Last

Subrecipient:



Professional Personalize | Find | View All First 1 of 1 Last

Research	Employee ID	Name	Other Role	Contact PI	Primary PI	Credit %	Reporting Role	Include in Award Header	Workflow Eligible	Details
<input checked="" type="checkbox"/>	KU0068	Kessler, Marc	Principal Investigator	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	 <input type="button" value="+"/> <input type="button" value="-"/>

Additional Sub-Recipient Details

Scenario – 1 : Create Proposal

Create Proposal: Proposal Resources – Sub Recipient Details

Vendor Details

Vendor ID:

Agreement Category:

From:

To:

Subrecipient Status:

Method Chosen:

Information

[Find](#) | [View All](#)

First 1 of 1 Last

Required Deliverables:

Comments

[Find](#) | [View All](#)

First 1 of 1 Last

Type:

Comments:

OK

Cancel

Refresh

Scenario – 1 : Create Proposal






Create Proposal: Proposal Resources – Sub Recipient Budget

Subrecipient Budget

Subrecipient ID:

Name:

Third Party Amount:

Budget Items		Personalize Find  	First ◀ 1 of 1 ▶ Last	
	*Budget Item	Total Direct Budget		
1	<input type="text"/> 	<input type="text"/>		

OK

Cancel

Refresh

Sub-Recipients

- Sub-Recipient information is optional on a Proposal and/or Award.
- May only be linked to established PO/AP vendors.
- Information is for reporting purposes only.
- Does not integrate directly with Procurement to establish Vendor Contracts or issue Payments.

Scenario – 1 : Create Proposal

Create Proposal: Certifications

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New Win

[Proposal](#)
[Projects](#)
[Budgets](#)
[Resources](#)
[Certifications](#)
[Reports](#)
[Attachments](#)

Proposal ID: CDA-3CNUTRITION **Version ID:** V101
Description: Title III C **Currency:** USD

Project

Find | View All First 1 of 1 Last

Project ID: 3C0S **Title:** Title III C Congregate Nutrition

Certification

Personalize | Find | First 1 of 1 Last

Certification Info	Review and Status						
*Certification Code	Certification Date	*Indicator	Certified By	Approval Date	Expiration Dt	Assurance Number	Exemption Number
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Scenario – 1 : Create Proposal

Create Proposal: Reports

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[Proposal](#)
[Projects](#)
[Budgets](#)
[Resources](#)
[Certifications](#)
[Reports](#)
[Attachments](#)

Proposal ID: CDA-3CNUTRITION

Version ID: V101

Description: Title III C

Proposal Project

Find | View All First 1 of 1 Last

Project ID: 3C0S

Title: Title III C Congregate Nutrition

Report

Find | View All First 1 of 1 Last

*Type:

+ -

Comments:

Go To:
 [Location](#)
[Protocols](#)
[Component](#)
[Setup Level](#)
[Keywords](#)
[Gender & Minority Study](#)
[Trainee](#)
[Attributes](#)

Scenario – 1 : Create Proposal

Create Proposal: Attachments


[Proposal](#)
[Projects](#)
[Budgets](#)
[Resources](#)
[Certifications](#)
[Reports](#)
[Attachments](#)

Proposal ID: CDA-3CNUTRITION

Version ID: V101

Description: Title III C

Proposal Project



[Find](#) | [View All](#)
First  1 of 1  Last

Project ID: 3C0S

Title: Title III C Congregate Nutrition

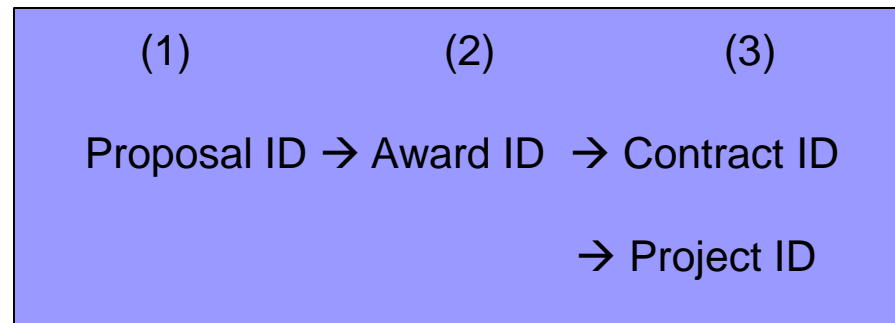
Attachment

[Personalize](#) | [Find](#) |  | 
First  1 of 1  Last

Requests	Attached File	
1		 

Grants Functionality

- When an award is generated in the system, the Proposal ID becomes the Award ID
- Data from Proposal is automatically copied into the Award
- When an Award is generated, a Contract ID is auto-generated with the same name (a contract tells the project how to bill a Sponsor), Project and Activity definitions are created, and Budget can be posted to Commitment Control



Scenario – 2 : Generate Award

Generate Award: Generate Award

Generate Award



From Proposal: PI-PRP002 To Award:

From Version: V101 ☐ Pre-award Spending ☐ Add to Grants Portal Security?

Project Find | View All First 1 of 1 Last

☒ Primary Project ☒ Included for Preaward Spending

From Project: PI-PRJ20 Nanotechnology applied on chemical and bio-detectors Project:

Budget Personalize | Find |   First 1 of 1 Last

	From Budget	Activity	Description	Pre-Award Spending
<input checked="" type="checkbox"/>	IMPLEMENTATION	IMPLEMENTATION	IMPLEMENTATION	<input checked="" type="checkbox"/>

Generate

All automated integration with Contracts and Projects is triggered here.

Scenario – 2 : Generate Award

Generate Award: Award Profile

Award	Funding	Resources	Certifications	Terms	Milestones	Key Words	Funding Inquiry
Award ID:	CDA-3CNUTRITION						
Reference Award Number:	<input type="text" value="635362-486"/>						
Title:	<input type="text" value="Title III C Congregate Nutrition"/>						
Long Description:	<input type="text" value="Title III C Congregate Nutrition"/>						
Award PI:	<input type="text" value="Kessler,Marc"/>		Reporting Role				
Sponsor:	Department of Health & Human Services						
Post Award Administrator:	<input type="text"/>						
Purpose:	<input type="text" value="NUTRI"/>						
Status:	<input type="text" value="Accepted"/>						
Award Type:	<input type="text" value="Grant"/>						
CFDA:	<input type="text" value="CFDA_1234"/>						
Proposal ID:	<input type="text" value="CDA-3CNUTRITION"/>						
Version ID:	<input type="text" value="V101"/>						
Start Date:	<input type="text" value="07/01/2013"/>						
End Date:	<input type="text" value="06/30/2014"/>						

Scenario – 2 : Generate Award

Generate Award: Funding

[Award](#)
[Funding](#)
[Resources](#)
[Certifications](#)
[Terms](#)
[Milestones](#)
[Key Words](#)
[Funding Inquiry](#)

Award ID CDA-3CNUTRITION

Award Title Title III C Congregate Nutrition

Reference Award Number

Currency USD

Award PI Kessler,Marc

Primary Project PI Kessler,Marc

Total Award Amount 500,000,000.00

Funding Info

Find | View All

First1 of 1Last

Project 3C0S

Title III C Congregate Nutriti



Project PI Kessler,Marc

Detail

Personalize | Find |

1 of 1

Last

Period		*Start Date	*End Date	Funded Amount	To Project ID	Budget Posting Status	PC Distribution Status		
1		07/01/2013 <div></div>	06/30/2014 <div></div>	500,000,000.00	3C0S <div></div>	Posted	Distributed	<div><div>+</div></div>	<div><div>-</div></div>

Go To:
[Sponsor](#)
[Protocols](#)
[Attributes](#)
[Department Credit](#)
[Notepad](#)
[Award Modifications](#)

Scenario – 2 : Generate Award

Generate Award: Resources

[Award](#)
[Funding](#)
[Resources](#)
[Certifications](#)
[Terms](#)
[Milestones](#)
[Key Words](#)
[Funding Inquiry](#)

Award ID CDA-3CNUTRITION

Award Title Title III C Congregate Nutrition

Reference Award Number 635362-486

Award PI Kessler,Marc

Primary Project PI Kessler,Marc

Detail
Find | View All
First 1 of 1 Last

Project 3C0S
Title III C Congregate Nutriti
Project PI Kessler,Marc

Resource
Find | View All
First 1 of 1 Last

*Type
Comments

Subrecipient
Find | View All
First 1 of 1 Last

Subrecipient:

Scenario – 2 : Generate Award

Generate Award: Resources

[Award](#)
[Funding](#)
[Resources](#)
[Certifications](#)
[Terms](#)
[Milestones](#)
[Key Words](#)
[Funding Inquiry](#)

Award ID CDA-3CNUTRITION
Reference Award Number

Award Title Title III C Congregate Nutrition

Award PI Kessler,Marc
Primary Project PI Kessler,Marc

Detail
Find | View All
First 1 of 1 Last

Project 3C0S
Title III C Congregate Nutriti
Project PI Kessler,Marc

Detail
Personalize | Find |
First 1-5 of 5 Last

[Certification Info](#)
[More Info](#)



*Certification Code	Certification Date	*Indicator	Certified By	Approval Date	Expiration Dt		
DRUG0	07/01/2001	Yes		04/30/2014	06/30/2006		
FEDDT	07/01/2001	Yes		04/30/2014	06/30/2005		
INVPT	08/03/2001	Yes		04/30/2014	06/30/2010		
LOBBY	07/01/2001	Yes		04/30/2014	06/30/2005		
SMOKE	07/01/2001	Yes		04/30/2014	06/30/2006		

Scenario – 2 : Generate Award

Generate Award: Terms

Award	Funding	Resources	Certifications	Terms	Milestones	Key Words	Funding Inquiry
-----------------------	-------------------------	---------------------------	--------------------------------	-----------------------	----------------------------	---------------------------	---------------------------------

Award ID	CDA-3CNUTRITION	Award Title	Title III C Congregate Nutrition
Reference Award Number	<input type="text" value="635362-486"/>		
Award PI	Kessler,Marc	Primary Project PI	Kessler,Marc

Details		Find View All	First <input type="button" value="◀"/> 1 of 1 <input type="button" value="▶"/> Last
Project	3C0S	Title III C Congregate Nutriti	Project PI Kessler,Marc
Detail		Find View All	First <input type="button" value="◀"/> 1 of 1 <input type="button" value="▶"/> Last
*Terms & Conditions	<input type="text"/>		
Description	<input type="text"/>		
Explanation:	<input type="text"/>		

Scenario – 2 : Generate Award

Generate Award: Milestones

Award	Funding	Resources	Certifications	Terms	Milestones	Key Words	Funding Inquiry
-----------------------	-------------------------	---------------------------	--------------------------------	-----------------------	----------------------------	---------------------------	---------------------------------

Award ID	CDA-3CNUTRITION	Award Title	Title III C Congregate Nutrition
Reference Award Number	<input type="text" value="635362-486"/>		
Award PI	Kessler,Marc	Primary Project PI	Kessler,Marc

Detail

Find | View All

First 1 of 1 Last

Notify + -

*Milestone Type:	<input type="text"/>		
*Milestone Code:	<input type="text"/>		
Milestone Status:	<input type="text"/>		Priority: Medium
Due Date:	<input type="text"/>		Notification Due Date:
Days Prior To Notify:	<input type="text"/>		Completion Date:
			Completed By:

Contact ID	Notification
------------	--------------









Comments:

Scenario – 2 : Generate Award

Generate Award: Key Words

Award	Funding	Resources	Certifications	Terms	Milestones	Key Words	Funding Inquiry
-----------------------	-------------------------	---------------------------	--------------------------------	-----------------------	----------------------------	---------------------------	---------------------------------

Award ID	CDA-3CNUTRITION	Award Title	Title III C Congregate Nutrition
Reference Award Number	<input type="text" value="635362-486"/>		
Award PI	Kessler,Marc	Primary Project PI	Kessler,Marc

Detail		Personalize Find  		First  1 of 1  Last
*Key Word	Description			
<input type="text"/>	 			

Go To:	Sponsor	Protocols	Attributes	Department Credit	Notepad	Award Modifications
--------	-------------------------	---------------------------	----------------------------	-----------------------------------	-------------------------	-------------------------------------

Scenario – 2 : Generate Award

Generate Award: Funding Inquiry

[Award](#) |
 [Funding](#) |
 [Resources](#) |
 [Certifications](#) |
 [Terms](#) |
 [Milestones](#) |
 [Key Words](#) |
 [Funding Inquiry](#)

Award ID: CDA-3CNUTRITION
 Reference Award Number: 635362-486
 Total Projected Award Amount: \$500,000,000.000
 Total Reported Award Amount: \$500,000,000.000
 Total Posted Budget Amount: \$500,000,000.000
 Total Limit Amount: \$500,000,000.000
 Begin Date: 07/01/2013
 End Date: 06/30/2014

Recalculate the Amounts

Contract Lines

Find | View All

First 1 of 1 Last

Contract Line:

1



Total Posted Budget Amount:

\$500,000,000.000

Billing Limit Amount:

\$500,000,000.000

Project Detail

Personalize | Find |  | 

First 1 of 1 Last

Project	Activity	Funded Amount	Posted Budget Amount		
1 3C0S	BUD	\$500,000,000.000	\$500,000,000.000	+	-

Scenario – 2 : Generate Award

Generate Award: Budget Detail - General








Budget Detail

Post Budget to KK and to PC

Project: 3C0S Title III C Congregate Nutriti
 Budget Period: 1 Begin Date: 07/01/2013 End Date: 06/30/2014 Finalize [Process Monitor](#)

Project Budget Summary

Cost Share Direct: \$0.00 Currency: USD Total Budget: \$500,000,000.00
 Sponsor Budget: \$500,000,000.00
 Security Status: None

Budget Amounts for Period					Personalize Find View All  		First  1 of 1  Last	
General		Project Detail	General Ledger Detail	Commitment Control Detail	Grants Detail			
Activity	Budget Item	Begin Date	Quantity	Unit of Measure	Amount	Currency		
BUD	PERSON		1.00		500,000,000.00	USD		

Scenario – 2 : Generate Award

Generate Award: Budget Detail - Projects

Budget Detail

Project: 3C0S Title III C Congregate Nutriti
 Budget Period: 1 Begin Date: 07/01/2013 End Date: 06/30/2014 [Finalize](#) [Process Monitor](#)

Project Budget Summary

Cost Share Direct: \$0.00 Currency: USD Total Budget: \$500,000,000.00
 Sponsor Budget: \$500,000,000.00
 Security Status: None

Budget Amounts for Period

[Personalize](#) | [Find](#) | [View All](#) |  |  First  1 of 1  Last

General		Project Detail		General Ledger Detail		Commitment Control Detail		Grants Detail	
Activity	Budget Item	Projects Distribution Status	Transaction ID	Analysis Type	Employee ID	Job Code	Project Role		
BUD	PERSON	Distributed	10002808	BUD					

Scenario – 2 : Generate Award









Generate Award: Budget Detail – General Ledger

Budget Detail

Project: 3C0S Title III C Congregate Nutriti
 Budget Period: 1 Begin Date: 07/01/2013 End Date: 06/30/2014 [Finalize](#) [Process Monitor](#)

Project Budget Summary

Cost Share Direct: \$0.00 Currency: USD Total Budget: \$500,000,000.00
 Sponsor Budget: \$500,000,000.00
 Security Status: None

Budget Amounts for Period									
Personalize Find View All   First  1 of 1  Last									
General	Project Detail	General Ledger Detail	Commitment Control Detail	Grants Detail					
Activity	Budget Item	GL Business Unit	Statistics Code	Account	Alternate Account	Operating Unit	Fund Code	Department	Program Code
BUD	PERSON	4170		6002				12000	
  									

Scenario – 2 : Generate Award

Generate Award: Budget Detail – General Ledger

Budget Detail

Project: 3C0S Title III C Congregate Nutriti
 Budget Period: 1 Begin Date: 07/01/2013 End Date: 06/30/2014 [Finalize](#) [Process Monitor](#)

Project Budget Summary

Cost Share Direct: \$0.00 Currency: USD Total Budget: \$500,000,000.00
 Sponsor Budget: \$500,000,000.00
 Security Status: None

Budget Amounts for Period

[Personalize](#) | [Find](#) | [View All](#) |  |  First 1 of 1 Last

General	Project Detail	General Ledger Detail	Commitment Control Detail	Grants Detail					
Activity	Budget Item	Commitment Control Status	Journal ID	Ledger Group	Ledger	Budget Entry Type	Generate Parent Budget (s)	Use Default Entry Event	En
BUD	PERSON	Distributed	0010002808	EG_UNIV	EG_UNIV_BD	Original	<input checked="" type="checkbox"/>	<input type="checkbox"/>	

Drill to Budget Details in Commitment Control

Scenario – 2 : Generate Award

Generate Award: KK Budget Details









Commitment Control Budget Details

Business Unit	Ledger Group	Account	Dept	Project	PC Bus Unit	Activity	Budget Period
4170	EG_UNIV	6002	12000	3C0S	4170	BUD	2014

Display Chart







Ledger Amounts

Budget:	500,000,000.00 USD			Max Rows: <input type="text" value="100"/>
Expense:	0.00 USD			Attributes
Encumbrance:	0.00 USD			Parent / Children
Pre-Encumbrance:	0.00 USD			Associated Budgets

Associate Revenue: 0.00 USD

Available Budget








Without Tolerance:	500,000,000.00 	USD	Percent:	(100%) 	Forecasts
With Tolerance:	535,000,000.00 	USD	Percent:	(107%) 	

Budget Exceptions

Exception Errors: 0 Exception Warnings: 0 Budget Exceptions

Scenario – 2 : Generate Award

Generate Award: Contract Header

General		Lines	
Contract Number:	CDA-3CNUTRITION	Sold To Customer:	Department of Health & Human Services
		*Contract Status:	PENDING 
<input type="button" value="Add to My Contracts"/>			
Description:	Title III C Congregate Nutriti	Processing Status:	Pending
Contract Admin:		Amendment Status:	
Region Code:		Business Unit:	California Department of Aging
Contract Type:	GRANTS 	Contract Classification:	Standard
Currency Code:	USD	Last Amended:	
Exchange Rate Type:	CRRNT 	Start Date:	07/01/2013
Contract Signed:	07/01/2013 	End Date:	06/30/2014
		Last Update Date/Time:	04/30/2014 9:37:13AM
		Last Update User ID:	DHoferkamp
<input type="button" value="Other Information"/>			
<input type="button" value="Summary of Amounts"/>			
Go To:	Billing Plans	Revenue Plans	Milestones
	Renewals	Amount Allocation	More 

Scenario – 2 : Generate Award

Generate Award: Project Definition

General Information	Project Costing Definition	Primavera	Manager	Location	Phases	Approval	Justification	User Fields	Rates	Attachments
Project: 3C0S		Add to My Projects								
*Description: <input type="text" value="Title III C Congregate Nutriti"/>		<input type="checkbox"/> Program		Processing Status: Active						
*Integration: <input type="text" value="4170"/> 4170 - CDA				Project Status: Approved						
Project Type: <input type="text"/>				Contract Number: CDA-3CNUTRITION						
Percent Complete: <input type="text" value="0.00"/>		As Of:								
Project Health: <input type="text"/>		As Of:								
Project Schedule										
*Start Date: <input type="text" value="07/01/2013"/>		*End Date: <input type="text" value="06/30/2014"/>		Additional Dates						
Description Find View All First 1 of 1 Last 										
Date/Time Stamp: 04/30/14 9:36:47AM		User ID: DHoferkamp								
Description: <input type="text" value="Title III C Congregate Nutrition"/>										
Long Description: <input type="text" value="Title III C Congregate Nutrition"/>										

Financial Transaction Considerations

ID		Requirement Description
GA	1.00	The System shall track grant budget authority and cost at any level of the accounting classification structure.
GA	2.00	The System shall set and track grant budget controls for administrative overhead and matching funds (e.g., as a percentage or a flat amount).
GA	3.00	The System shall perform budgetary control edits prior to posting grant transactions.
GA	4.00	The System shall establish grant budgetary control periods that are different from appropriation control periods.
GA	8.00	The system shall track grant-related, and sub grant-related, salaries, benefits, and other non-salary, labor-related costs.
GA	10.00	The System shall track hours expended for all grants by:
GA	10.01	Organizational Unit
GA	10.02	Pay Period
GA	10.03	Employee
GA	10.04	Employee Number

Financial Transaction Considerations

ID		Requirement Description
GA	12.00	The System shall allow users to post a grant to multiple appropriations and funding sources.
GA	13.00	The System shall prevent transactions from posting that will result in the grant award being over expended.
GA	15.00	The System shall record grants by:
GA	15.14	Total Amount Claimed
GA	15.19	Funds Received Date
GA	15.20	SWCAP Allowable and Unallowable Cost

Financial Transaction Considerations

ID		Requirement Description
GA	16.00	The System shall track and record transactions applicable to individual grants at all levels of the account classification structure by time period and by:
GA	16.01	Accounting Classification
GA	16.02	Grant Identifier
GA	16.03	Funding Source amounts (Grant Award) and percentages
GA	16.04	Funding Type
GA	16.05	Amount Encumbered/Expended for Grant
GA	16.06	CFDA Number
GA	16.07	Transaction Information
GA	16.10	Receipts

Financial Transaction Considerations

ID		Requirement Description
GA	16.00	The System shall track and record transactions applicable to individual grants at all levels of the account classification structure by time period and by:
GA	16.12	Funding Source
GA	16.13	Expenditures
GA	16.14	Encumbrances
GA	16.15	Liquidations
GA	16.16	Grant Name
GA	17.00	The System shall record miscellaneous adjustments, reclassifications and inter-fund transfers associated with grants/cost centers.
GA	18.00	The System shall distribute costs within a grant (e.g., by category).

Financial Transaction Considerations

ID		Requirement Description
GA	19.00	The System shall calculate available grant balances by:
GA	19.01	Inception-to-date appropriations
GA	19.02	Expenses
GA	19.03	Expenditures
GA	19.04	Encumbrances
GA	38.00	The System shall close grant codes at the grant/project level, preventing user from having to close each budget line associated with the specified grant/project.
GA	39.00	The System shall close grants for specific associated budget lines while others remain active, without the user having to adjust individual lines.
GA	40.00	The System should generate advance alerts of grant end dates, by process (e.g., prompt at point of user transaction report).
GA	41.00	The System shall prevent transactions posting against an expired, inactive, or otherwise "closed" grant, with ability to override based on authorization.

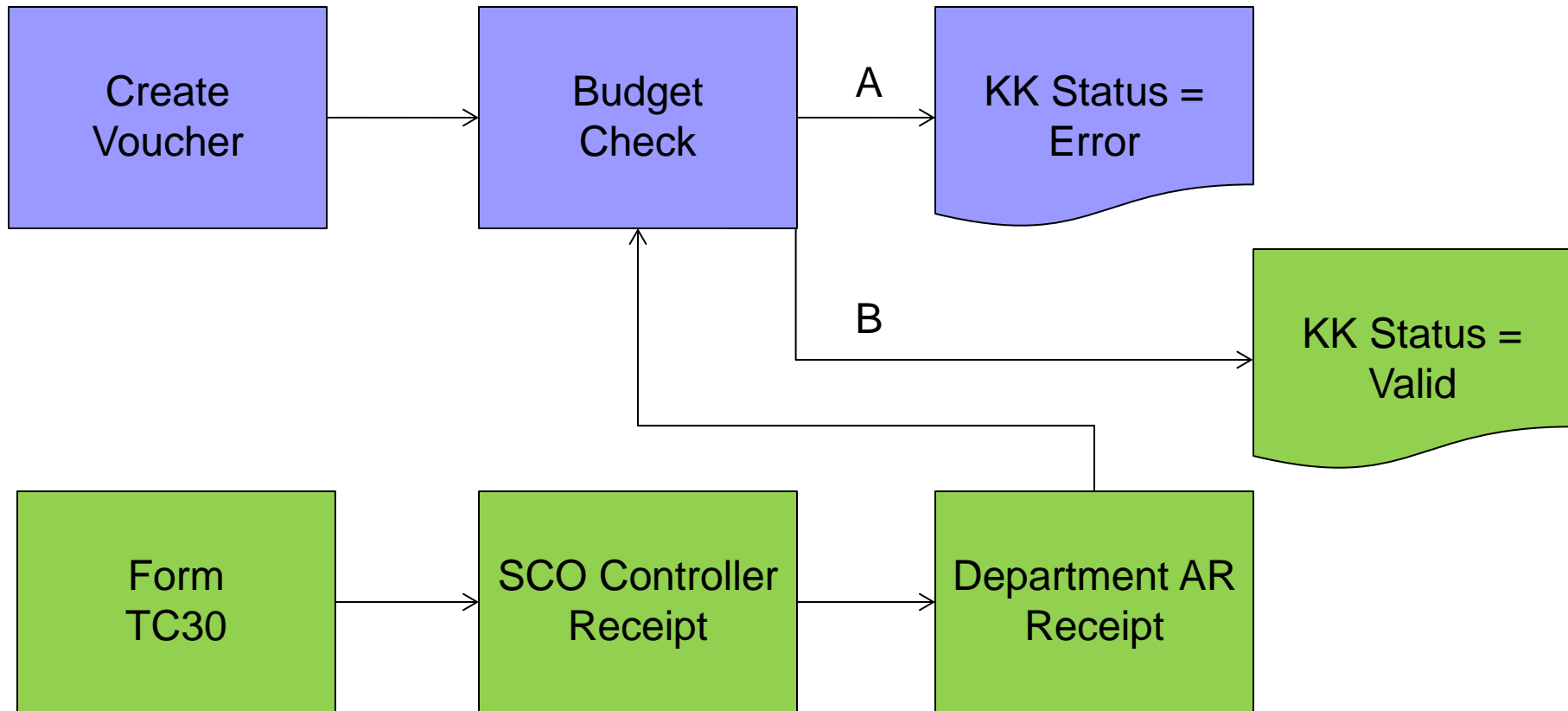
Financial Transaction Considerations

- The previous requirements are being met within the business processes that encompass preparing and processing financial transactions in all FI\$Cal modules relating to the Grant/Project
- As transactions are recorded, they will contain the proper COA values along with the appropriate Project/Activity
 - Allows for Cost Collection to Project Costing
 - Allows for Pricing, Funds Distribution, Billing and Revenue processes within Project Costing and Customer Contracts
 - Allows for detailed reporting and analysis

Federal Drawdown Process

ID		Requirement Description
GA	24.00	The System shall perform draw downs from federal agencies by time periods.
GA	25.00	The System shall identify grant transactions that are included in a drawdown request by drawdown date.
GA	26.00	The System shall generate a drawdown request report that displays new or modified grant transactions entered since the last drawdown request.
GA	27.00	The System shall calculate drawdown amounts by off-setting grant related expenditures/disbursements with grant related revenues and receivables.
GA	28.00	The System shall bill the federal government for expenditures/disbursements based on CMIA compliance and by:
GA	28.01	Grant number
GA	28.02	CFDA number
GA	28.03	Fund number
GA	28.04	Cost sharing requirements

Federal Drawdown Process



Billing and Revenue Considerations

ID		Requirement Description
GA	21.00	The System shall monitor the status of grant related receivables and deferrals/retention.
GA	22.00	The System shall establish advanced payments and track expenditures that are offsetting those advanced payments.
GA	42.00	The System shall automatically calculate Facilities & Administrative (F&A) costs associated with grant-related expenditures and create billable transactions for them.
GA	43.00	The System shall automatically calculate, based on the accounting classification, whether an expenditure is reimbursable (Federal match) or non-reimbursable (state match).
GA	37.00	The System shall hold individual transactions or categories of expenditures for a specified period of time before claiming federal reimbursement for cash management purposes.

Billing and Revenue Considerations

- Billing and Revenue for Grants is managed within the Customer Contracts Module in FI\$Cal
- Billing can be in advance (Deposit) or ad-hoc (as incurred)
 - Methods include Fixed-Fee and Rate Based
- Revenue is managed independently of Billing
- Triggers can include
 - Manual
 - Schedule
 - Event/Milestone
 - %Complete
 - As-Incurred

Reporting Considerations

ID		Requirement Description
GA	30.00	The System shall generate grant/sub-grant reports, by time period and by:
GA	30.01	Grant Name
GA	30.02	Grant Number
GA	30.03	Grantor Number (for grants received by State)
GA	30.04	Federal Department (for grants received by the State)
GA	30.05	Grantee Number (for grants distributed by State)
GA	30.06	Grant Amount
GA	30.07	Outstanding POs
GA	30.08	Encumbrances
GA	30.09	Expenditures to Date/Percent

Reporting Considerations

ID		Requirement Description
GA	30.00	The System shall generate grant/sub-grant reports, by time period and by:
GA	30.10	Expenditures to Date/Percent
GA	30.11	Expenditures for Month
GA	30.12	Liquidations
GA	30.13	Carryovers
GA	30.14	Cash Receipts To Date
GA	30.15	Receivables To Date
GA	30.16	Deferred To Date
GA	30.17	Remaining Balance
GA	30.20	Report by CFDA #

Reporting Considerations

ID		Requirement Description
GA	30.00	The System shall generate grant/sub-grant reports, by time period and by:
GA	30.21	Grantor Name
GA	36.00	The System shall generate a report listing unbilled costs incurred but not yet paid by State Agencies (e.g., DOT) and therefore, not reimbursed by federal agencies (e.g., FHWA).

Grants Portal

Award Summary

Search Option

Hello, Marc Kessler

You have 0 new items in your worklist.

Last Process Date/Time:

08/17/2009 5:48:31AM

Financial Calculating Option

Balances:

Both ▼

☒ Include FA

Budget Period From:

Through:

Bud Ref:

Date Selection:

As of Date

Recalculate


Burn Rate Indicator

▼ Potential Under Spending

- **Average Rate Spending**

- Potential Over Spending

Detail


Personalize | Find | 

Summary 1 of 1 Last

Demographics

Financial

Compliance

		Award ID	Funded	Budgeted	Expended	Encumbered	Pre Encumbered	Remaining Balance	Invoiced	Received	Unpaid
<input checked="" type="checkbox"/>		PI-PRP003	67,120,000.00	67,120,000.00	168,000.00	34,800.00	17,160.00	66,900,040.00	6,000,000.00	0.00	6.0
			III								

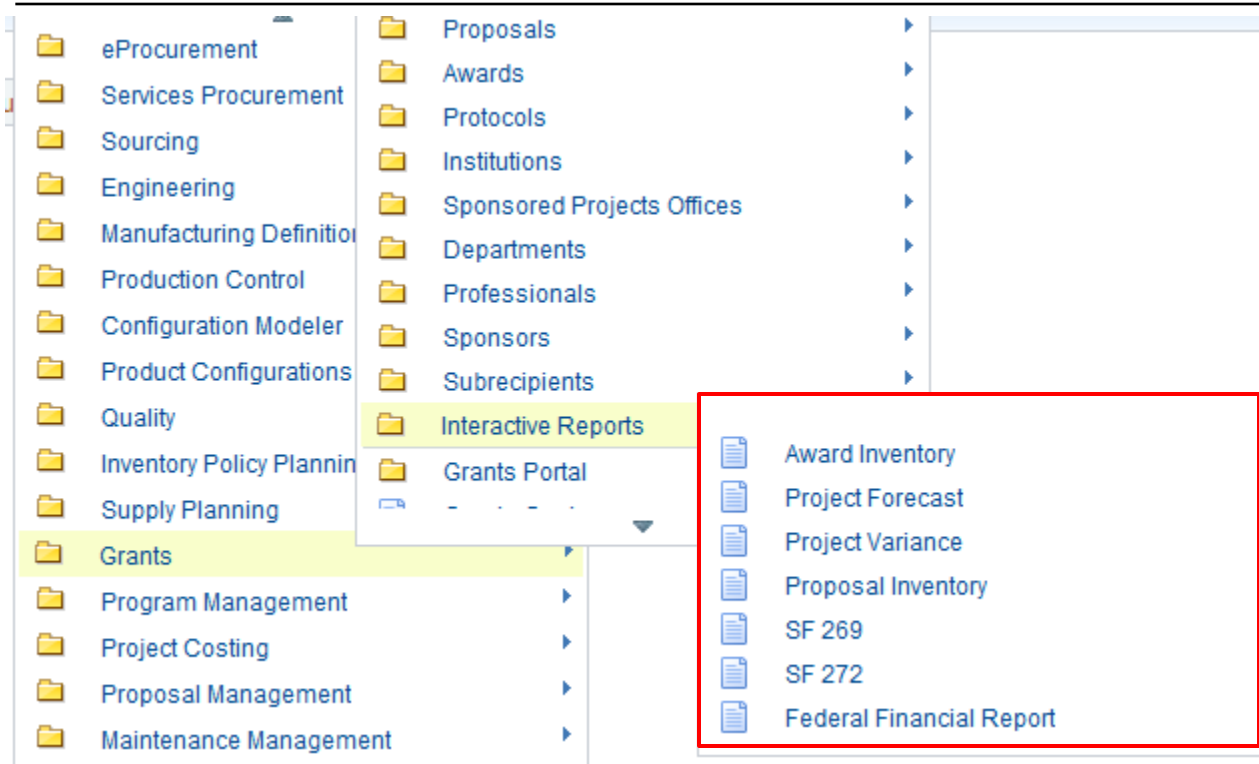
Deselect All

Total Amount of Selected Items

Selected:	1	Expended:	168,000.00	Invoiced:	6,000,000.00
Funded:	67,120,000.00	Encumbered:	34,800.00	Received:	0.00
Budgeted:	67,120,000.00	Pre-Encumbered:	17,160.00	Unpaid:	6,000,000.00
		Remaining Balance:	66,900,040.00	Update Total Amount	

Update Total Amount

Interactive Reports



Delivered Interactive Reports allow for dynamic Real Time analysis

Interactive Reports

FEDERAL FINANCIAL REPORT

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted National Institute of Health		2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) 333		Pag e 1	of 1 pages
3. Recipient Organization (Name and complete address including Zip code) PeopleSoft University 4305 Hacienda Dr , Pleasanton, CA, 94588					
4a. DUNS Number 144709193	4b. EIN 000000000000000000 0000649310000	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment) PI-PRP003	6. Report Type <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input type="checkbox"/> Annual <input checked="" type="checkbox"/> Final	7. Basis of Accounting <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual	
8. Project/Grant Period From: (Month, Day, Year) 01/01/2001		To: (Month, Day, Year) 12/31/2009		9. Reporting Period End Date (Month, Day, Year) 05/01/2014	
10. Transactions			Cumulative		
(Use lines a-c for single or multiple grant reporting)					
Federal Cash (To report multiple grants, also use FFR attachment):					
a. Cash Receipts			\$0.00		
b. Cash Disbursements			\$6,168,000.00		
c. Cash on Hand (line a minus b)			\$(6,168,000.00)		
(Use lines d - o for single grant reporting)					
Federal Expenditures and Unobligated Balance:					
d. Total Federal funds authorized			\$44,312,000.00		

Technology Considerations

- Interfaces
 - ☐ None Identified

- Conversions
 - ☐ PR001 - Grants Conversion

- Data Protection
 - ☐ All fields Public

Data Protection Overview

- FI\$Cal will receive and retain various types of data that will need to be protected. All data can be classified as:
 - ☐ Public
 - ☐ Personally Identifiable Information
 - ☐ Sensitive
 - ☐ Confidential

- Various state agencies assisted FI\$Cal in the development of a **Data Classification and Protection Framework**

Data Protection Overview

- All data that is received, retained, and transmitted by FI\$Cal protected by:
 - ☐ Business Unit
 - ☐ Encryption
 - ☐ Role Based Access

- In addition, data classified as PII, sensitive, and confidential will receive the additional protection of:
 - ☐ Masked
 - ☐ Tracking when added, updated, deleted, and read
 - ☐ Role Based Access, such as Confidential User

Data Protection – Key Terms

Conversion	Definition
Public Information	Information maintained by state agencies that is not exempt from disclosure under the provisions of state or federal laws.
Confidential Information	Information maintained by state agencies that is exempt from disclosure under the provisions of state or federal laws.
Sensitive Information	Information maintained by state agencies that requires special precautions to protect from unauthorized use, access, disclosure, modification, loss, or deletion. Sensitive information may be either public or confidential.
Personally Identifiable Information	Information that identifies or describes an individual. This information must be protected from inappropriate access, use, or disclosure and must be made accessible to data subjects upon request.

FI\$Cal Standard Data Protection Processes & Fields

Bus. Process

Process Name	Field Description
Create and Maintain Grants	Award ID
Create and Maintain Grants	Status
Create and Maintain Grants	Award Type
Create and Maintain Grants	CFDA
Create and Maintain Grants	Proposal ID
Create and Maintain Grants	Version ID
Create and Maintain Grants	Reference Award Number
Create and Maintain Grants	Total Award Amount
Create and Maintain Grants	Project
Create and Maintain Grants	Sub-recipient

Public

FI\$Cal Standard Protection Framework

Standard Data Protection level for: Confidential Information (Protected under the Public Records Act), Electronic Protected Health Information (e/PHI), Federal Tax Information, Notice Triggering Information, Payment Card Industry, Personally Identifiable Information (PII) and Sensitive Information, the following is the Standard Data Protection Level:

1. Mandates that Govern the Collection of this Field – State Administrative Manual (SAM).
2. Federal Tax Information Labeling – None.
3. FI\$Cal Standard Protection Method(s):
 - Business Level Security.
 - Encryption.
 - Masked (SSN, TIN, and Payment Card).
 - Role Based Access.
4. FI\$Cal Standard Actions to be Tracked:
 - Add, Delete, Update and Read.
5. FI\$Cal Standard Data Retention: Average 7 Years. (No data disposal planned for FI\$Cal currently).

Public

Confidential

PII

Sensitive

Accounts Payables classified as **Public** with the exception of the fields highlighted to be PII or Sensitive

Data Protection – Next Steps

- FI\$Cal is proposing this framework to meet the data protection requirements of Wave 1 and Wave 2 departments
- Opportunity to request additional data protection in Wave 2 task TECH201: Review Data Classification and Protection Framework

Related CRPs

- 5/1/2014 – Administer Vendor Contracts
- 5/8/2014 – Grants and Federal Funds
- 5/13/2014 – Customer Contracts

Session Recap

- Key Considerations
- Future Action Items
- Action Items
- Concerns

Question and Answer



FI\$Cal Project Information:

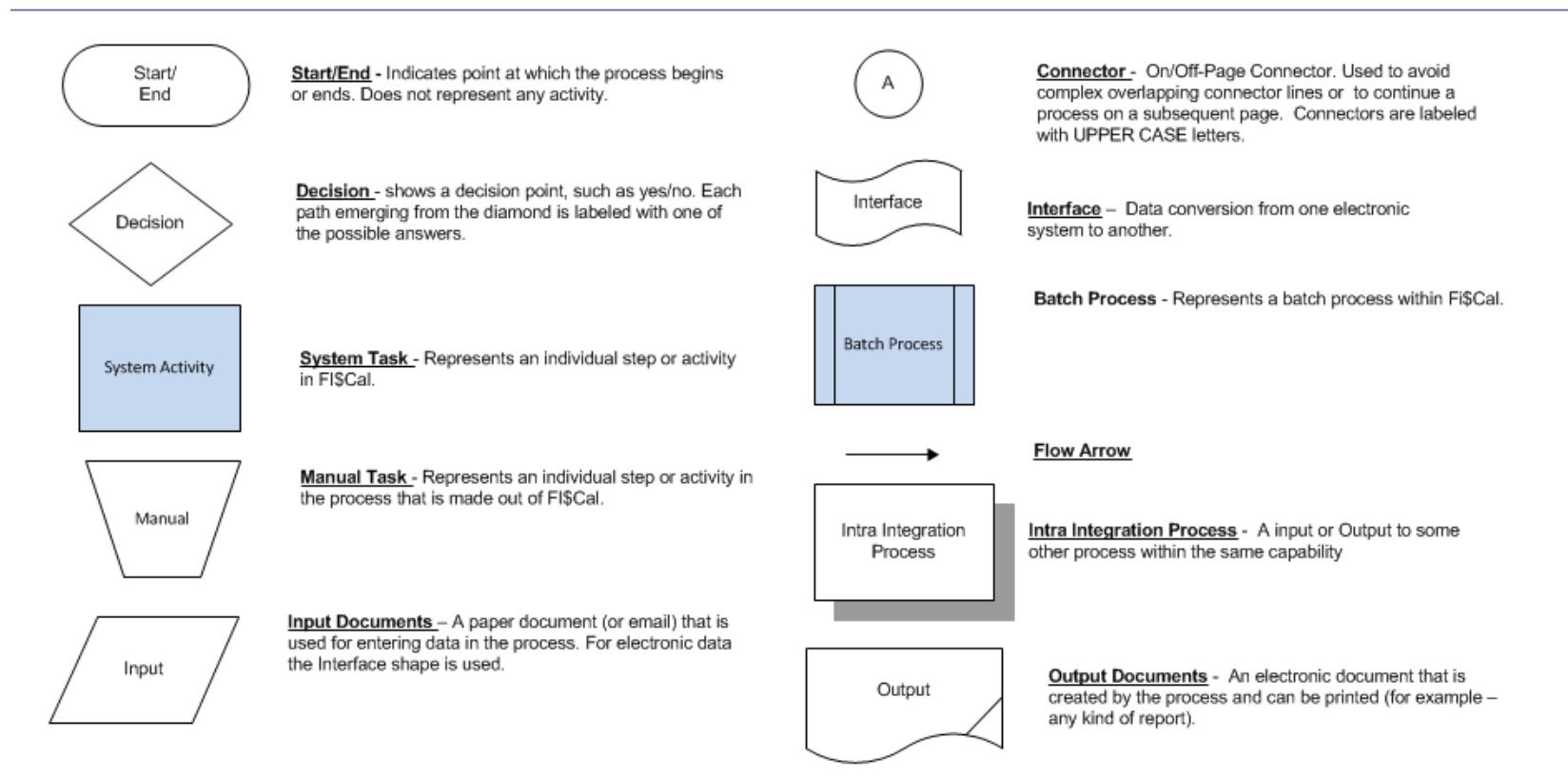
<http://www.fiscal.ca.gov/>

or e-mail the FI\$Cal Project Team at:

fiscal.cmo@fiscal.ca.gov

Appendix

Guide to Symbols in Flows



ChartField Cross Reference

